

# A Transition-Based System for Joint Lexical and Syntactic Analysis

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# Contributions

## A new factorized representation of lexical and syntactic analysis

- Dependency analysis
- Inclusion of Multiword Expression analysis

## A new transition-based system

- Input: a sequence of tokens
- Output: above representation
- Special mechanisms to handle Multiword Expressions

*Work originally presented at ACL 2016*

# Multiword Expressions (MWE)

## Definitional features

- Sequence of several items with a **certain degree of non-compositionality**: *cut the mustard, hot dog*
- **Multidimensional idiomaticity**: pragmatic, semantic, syntactic, lexical and morphological
- Wide range of linguistic phenomena

## Semi-compositionality

- **Examples**: *white wine, make a decision*
- Internal components may be compositionally modified.  
**Example**: *make several good decisions*

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NEED FOR INTERNAL STRUCTURE

- **Examples**: *white wine, make a decision*
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**Example**: *make several good decisions*

# Multiword Expressions (MWE)

## Fixed vs. non-fixed MWEs

- **Fixed:** Fully lexicalized sequence with no variation nor insertion (Sag et al. 2002) with irregular syntax  
**Examples:** *by and large*
- **Non-fixed:** regular syntax, variations, insertions, ...  
**Examples:** *make several decisions, the decision you made*

## Embedding

- **Example 1:** (make (big deal))
- **Example 2:** ((Los Angeles) Lakers)

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A FIXED MWE = A SYNTACTIC UNIT

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## Embedding

A LEXICAL UNIT = A TREE

- **Example 1:** (make (big deal))
- **Example 2:** ((Los Angeles) Lakers)

# Related Work

Joint lexical and syntactic parsing in the dependency framework

## MWE annotation in syntactic tree

- MWE annotation as flat subtree → internal structure is lost (Nivre and Nilsson 2004, Erygit et al 2011, Seddah et al. 2013, Nasr et al 2015)
- Syntactic structure is kept, MWE status is added to the syntactic arc label (Vincze et al. 2013, Candito and Constant 2014)
- Dual representation: regular vs. irregular MWEs (Candito and Constant 2014)

## Use of off-the-shelf parsers

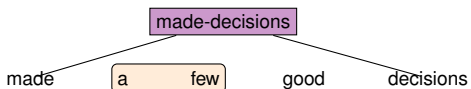
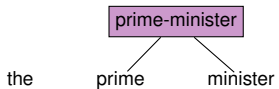
The concatenation of MWE status and syntactic function increases parsing complexity



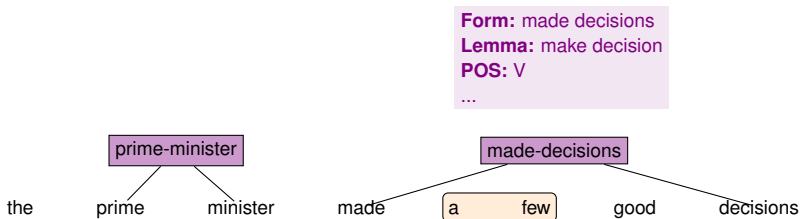
# Lexical and Syntactic representation

the prime minister made a few good decisions

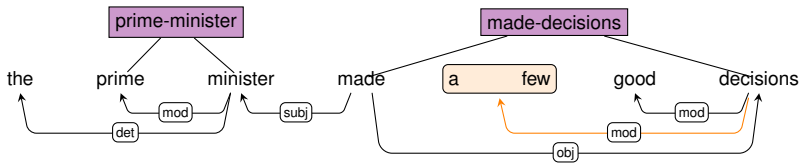
## Lexical and Syntactic representation



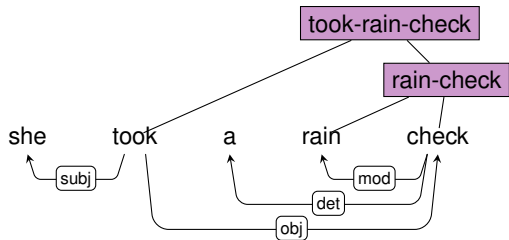
# Lexical and Syntactic representation



# Lexical and Syntactic representation



# MWE embedding



# Background: a standard transition-based parser

## Input/Output

- **Input:** a sequence of tokens
- **Output:** a set of syntactic arcs

## Internal mechanism

- predict a **sequence of actions** (namely *transitions*)
- A transition goes from one parsing state (namely *configuration*) to another one
- **Configuration:** a stack, a buffer and a set of arcs

# Background: a standard transition-based parser (Cont'd)

## Configurations

- **Initial configuration:** buffer filled with input tokens, empty stack and set of arcs
- **Terminal configuration:** buffer is empty, stack has one items left

## Transitions

- **Shift:** push the next token of the buffer on top of stack
- **Left-arc<sub>k</sub>:** creates a left arc labeled  $k$  between the two top tokens of the stack; only head item is kept in stack. The created arc is added to the set of arcs
- **Right-Arc<sub>k</sub>:** same as Left-arc, but creates a right arc

# Example

John likes linguistics

**Transition**

—

**Buffer**

[John likes linguistics ]

**Stack**

[ ]

**Arcs**

—



# Example

John likes linguistics

## Transition

Shift

## Buffer

[likes linguistics ]

## Stack

[John]

## Arcs

—

# Example

John likes linguistics

## Transition

Shift

## Buffer

[linguistics ]

## Stack

[John likes]

## Arcs

—

# Example

John likes linguistics

## Transition

Left-Arc(subj)

## Buffer

[linguistics ]

## Stack

[likes]

## Arcs

subj(likes, John)

# Example

John likes linguistics

## Transition

Shift

## Buffer

[ ]

## Stack

[likes linguistics]

## Arcs

subj(likes, John)

# Example

John likes linguistics

## Transition

Right-Arc(obj)

## Buffer

[]

## Stack

[likes]

## Arcs

subj(likes, John)

obj(likes, linguistics)

# Our new transition-based system

## Handling two linguistic dimensions

- Two stacks: a syntactic stack and a lexical stack
- One buffer to synchronize the two dimensions
- Processed items: a set of syntactic arcs and a set of lexical trees

## Handling MWEs

- Mild extension of arc-standard parser
- Specific transitions to deal with MWE identification

# Transition system

## Configuration

(Buffer, SynStack, SynArcs, LexStack, LexTrees)

## Initial

$([w_1, \dots, w_n], [], \{\}, [], \{\})$

**Input:**  $w_1, \dots, w_n$

## Terminal

$([], [x], \text{SynArcs}, [], \text{LexTrees})$

**Output:** SynArcs, LexTrees

# Transition system

## Shift

Moves next token from Buffer to **both** stacks

## Right-Arc( $k$ ), Left-Arc( $k$ )

Adds syntactic arc between top items on **syntactic** stack

## Merge<sub>F</sub>( $t$ )

Creates lexical tree from top items on **both** stacks – fixed MWE

## Merge<sub>N</sub>( $t$ )

Creates lexical tree from top items on **lexical** stack – non-fixed MWE

## Complete

Adds lexical tree from **lexical** stack



# Example parse

**Transition**

–

**Buffer**

[he made a few decisions]

**SynStack**

[]

**SynArcs**

–

**LexStack**

[]

**LexTrees**

–

# Example parse

## Transition

Shift

## Buffer

[made a few decisions]

## SynStack

[he]

## SynArcs

—

## LexStack

[he]

## LexTrees

—

# Example parse

## Transition

Complete

## Buffer

[made a few decisions]

## SynStack

[he]

## SynArcs

—

## LexStack

[ ]

## LexTrees

he

# Example parse

## Transition

Shift

## Buffer

[a few decisions]

## SynStack

[he made]

## SynArcs

—

## LexStack

[made]

## LexTrees

he

# Example parse

## Transition

Left-Arc(subj)

## Buffer

[a few decisions]

## SynStack

[made]

## SynArcs

subj(made, he)

## LexStack

[made]

## LexTrees

he

# Example parse

## Transition

Shift

## Buffer

[few decisions]

## SynStack

[made a]

## SynArcs

subj(made, he)

## LexStack

[made a]

## LexTrees

he

# Example parse

## Transition

Shift

## Buffer

[decisions]

## SynStack

[made a few]

## SynArcs

subj(made, he)

## LexStack

[made a few]

## LexTrees

he

# Example parse

## Transition

Merge<sub>F</sub>(A)

## Buffer

[decisions]

## SynStack

[made A(a, few)]

## SynArcs

subj(made, he)

## LexStack

[made A(a, few)]

## LexTrees

he



# Example parse

## **Transition**

Complete

## **Buffer**

[decisions]

## **SynStack**

[made A(a, few)]

## **SynArcs**

subj(made, he)

## **LexStack**

[made]

## **LexTrees**

he, A(a, few)

# Example parse

## Transition

Shift

## Buffer

[ ]

## SynStack

[made A(a, few) decisions]

## SynArcs

subj(made, he)

## LexStack

[made decisions]

## LexTrees

he, A(a, few)

# Example parse

## Transition

Left-Arc(mod)

## Buffer

[ ]

## SynStack

[made decisions]

## SynArcs

subj(made, he)

mod(decisions, A(a, few))

## LexStack

[made decisions]

## LexTrees

he, A(a, few)

# Example parse

## Transition

Merge<sub>N</sub>(V)

## Buffer

[ ]

## SynStack

[made decisions]

## SynArcs

subj(made, he)

mod(decisions, A(a, few))

## LexStack

[V(made, decisions)]

## LexTrees

he, A(a, few)

# Example parse

## Transition

Complete

## Buffer

[]

## SynStack

[made decisions]

## SynArcs

subj(made, he)

mod(decisions, A(a, few))

## LexStack

[]

## LexTrees

he, A(a, few), V(made, decisions)

# Example parse

## Transition

Right-Arc(obj)

## Buffer

[]

## SynStack

[made]

## LexStack

[]

## SynArcs

subj(made, he)

mod(decisions, A(a, few))

obj(made, decisions)

## LexTrees

he, A(a, few), V(made, decisions)

# Implementation and Evaluation

## Implementation

- **Greedy parser** trained with averaged **perceptron**
- **Hard constraints**: Complete transitions are made implicit, i.e. only activated when arc transitions are selected by classifier

## Evaluation

- **Two datasets**: English Web Treebank (+ Streusle) and French Treebank
- **Comparisons** with
  1. **standard parser with extended labels** including the MWE status
  2. **partial systems** where some transitions are deactivated
  3. **pipeline systems**: fixed MWE identification + parsing

# Main experimental findings

More detailed results in the paper!

- Joint system outperforms standard parser for MWE analysis
- Hard constraints can be helpful for syntactic analysis
- Lexical layer helps syntactic layer prediction (not reverse)
- Preidentifying fixed MWE seems to be helpful



## Results on French Treebank

System	DEV				TEST			
	UAS	LAS	MWE	FMWE	UAS	LAS	MWE	FMWE
Extended Labels	86.28	83.67	77.2	83.2	84.85	82.67	75.5	81.9
Ours (explicit)	86.36	83.77	79.7	86.0	84.98	82.79	<b>79.3</b>	<b>84.8</b>
Ours (implicit)	<b>86.61</b>	<b>84.10</b>	<b>80.0</b>	<b>86.2</b>	<b>85.04</b>	<b>82.93</b>	78.4	84.3
Syntactic only	86.39	83.77	-	85.0	85.02	82.84	-	83.8
Lexical only	-	-	80.0	-	-	-	79.5	-
Fixed only	-	-	-	85.7	-	-	-	85.7
Pipeline	85.49	83.50	<b>81.8</b>	85.7	84.84	82.89	<b>81.1</b>	<b>85.7</b>

## Results on English Web Treebank

System	TRAIN Cross-validation			TEST		
	UAS	LAS	MWE	UAS	LAS	MWE
Extended labels	86.16	81.76	49.6	86.31	82.02	46.8
Ours (explicit)	86.25	82.09	52.9	86.05	81.68	<b>53.4</b>
Ours (implicit)	<b>86.81</b>	<b>82.68</b>	<b>55.0</b>	<b>87.05</b>	<b>83.14</b>	51.6
Syntactic only	86.35	82.23	-	86.41	82.20	-
Lexical only	-	-	54.5	-	-	53.6

# Conclusion

## Contributions

- A new representation integrating MWE analysis and syntactic analysis
- A new transition-based system predicting such representation including special transitions for handling MWEs

## Future work

- Implementing more advanced features: beam-search, dynamic oracles, deep learning, distributional semantics
- Evaluating on more datasets

Thanks!

Questions/Comments?

## Statistics on datasets

<b>Corpus</b>	<b>EWT</b>		<b>FTB</b>		
	Train	Test	Train	Dev	Test
# sent.	3,312	500	14,759	1,235	2,541
# tokens	48,408	7,171	443,113	38,820	75,216
# MWEs	2,996	401	23,556	2,119	4,043
# fixed	-	-	10,987	925	1,992