Lexical Simplification and MWE: how to deal with complexity?

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How difficult a text is... and for whom?

"The question of whether a text is easy to read and understand depends very much on the abilities and experience of the reader." [Saggion et al., 2011]

Readability:

The sum total (including the interactions) of all those elements within a given piece of printed material that affect the success of a group of readers have with it. The success is the extent to which they understand it, read it at an optimal speed, and find it interesting. [Dale and Chall, 1949]

- Literature ++ for second language acquisition (SLA), but very recent domain of study in NLP: computational readability, text simplification.
1 Introduction
   - Computational readability
   - Subtask: detecting complex vocabulary

2 Identifying complex words
   - Assessing Lexical complexity
   - Using graded corpora
   - Automatically assigning complexity ranks

3 Identifying complexity in MWE
   - Related work, psycholinguistic criteria
   - Annotation Campaign

4 Conclusions
1 Introduction
   - Computational readability
   - Subtask: detecting complex vocabulary

2 Identifying complex words

3 Identifying complexity in MWE

4 Conclusions
Why assess text difficulty?

- EU recent report (2009) : 19.6% of 15 year old teenagers are “low achievers” in reading
  [De Coster, I. and Baidak, N. and Motiejunaite, A. and Noorani, S., 2011]

- Reading issues can be critical (form for unemployment benefit, drug instruction, living in a foreign country, etc.)

- Assessing (readability prediction) or manipulating text difficulty (automatic text simplification) are seen as :
  - Useful as reading aid systems (improve text accessibility)
  - Helpful for language instructors or readers (improve adaptability of learning).
Computational readability

- **Statistical approaches**: first formulae, i.e. linear regression with two variables (lexical and syntactic) [Flesch, 1948], [Dale and Chall, 1949]

- **Cognitive approaches**: integration of cognitive factors, i.e. coherence and cohesion of the text

- **Computational approaches**:
  - integration of previous paradigms (Statistical and cognitive approaches)
  - automatic extraction of different variables
  - statistical algorithms for text classification (text grades), i.e. Flesch-Kincaid, Gunning-Fog, Coleman-Liau Index, SMOG Index
The output of a readability model

- Readability formulas output a global unique score!
- Example: the Lexile scale “measuring reading ability and the text demand of reading materials”:

<table>
<thead>
<tr>
<th>Title of work</th>
<th>Lexile</th>
</tr>
</thead>
<tbody>
<tr>
<td>Twilight</td>
<td>720L</td>
</tr>
<tr>
<td>Harry Potter and the Sorcerer’s Stone</td>
<td>880L</td>
</tr>
<tr>
<td>The Hobbit</td>
<td>1000L</td>
</tr>
</tbody>
</table>

Problems:

- Useful for information retrieval-type applications
- BUT... no information about the passages or lexical forms that are difficult to read or comprehend
Research questions

- What do we mean by 'complex' vocabulary?
- Is it (only) a matter of frequency and/or length? “Size does not matter. Frequency does” → Frequency is better predictor than length [Wilkens, R. and Dalla Vecchia, A. and Zanon Boito, M. and Padró, M. and Villavicencio, A., 2014]
- Other hypothesis:
  - Form: consistency phoneme-grapheme, morphological structure
  - Meaning: polysemy, compositionnallity, abstractness
- Is it possible to automatically identify complex words? To grade/rank synonyms?
- Is the methodology employed for single lexical items applicable to MWE?
Aims of our research

1. Better **comprehend the different characteristics** that make lexical items difficult to be read or understood ("intrinsic difficulty")
2. Relate these characteristics with those of a **given population** ("extrinsic difficulty")
   → e.g. L1 with developmental disorder (i.e. dyslexia), deafs, low education level, L2, etc.
3. Design **models** able to automatically predict word difficulty and **lexical resources** including information on complexity
4. Design **reading aids**, i.e. automatic text simplification
Joint work with:

- Thomas François (Cental, Univ. catholique de Louvain)
- Delphine Bernhard (LiLPa, Université de Strasbourg)
- Carlos Ramisch, Mokhtar Billami (LIF, Aix Marseille Univ.)

ANR ALECTOR (2017-2020)
Aide à la LECTure pour améliORer l’accès aux documents pour enfants dyslexiques
(Reading Aids to leverage Document Accessibility for Children with Dyslexia)
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Assessing Lexical complexity, related work

Methods to identify complex forms are mainly based on:

- frequencies
- classification
- ranking

The aim is to identify complex lexical items in context and simplify them according to the reader needs.
First approach: complex words are rare words

Using frequencies

- Pioneer study by [Carroll et al., 1999]
  - Frequencies of words are looked up in the Kucera-Francis frequency list [Quinlan, 1992]

- A word complexity measure combining word length and word frequency [Biran et al., 2011]
Second approach: classification

Classification methods for complex words

- [Shardlow, 2013] compares a frequency-based approach with a SVM model with 6 variables
  - Frequency, document count, word length, syllable count, sense count, and number of synonyms
  - Both methods got a similar F1 value!

- [Gala et al., 2014] used a 24-variable SVM model and reached 63% on 3 classes (+2% over frequency baseline)

- [Baeza-Yates et al., 2015] added some variables based on spelling patterns to predict complex words for dyslexic children
  - 72.3% of accuracy for 2 classes
Third approach: ranking

Approach based on ranking for simplification

- Idea: define a ranking function that can sort a set of synonyms and find the best one to replace a word in context

- [Jauhar and Specia, 2012] use frequencies, syllable count, N-gram model, LSA model, and some psycholinguistics features

  \[ \kappa = 0.496 \] between predictions and gold-standard ranking

- [Horn et al., 2014] use candidate probability \( p(c_i|w) \), word frequency, language models, and context frequency.
Current limitations

- Most approaches work on the form rather than at the sense level → no difference between lime ('lemon') and lime ('calcium oxide')
- Most approaches are based only on linguistic characteristics (absolute complexity) → generic models: relative complexity or difficulty is overlooked (tailoring to specific users is not foreseen)
- MWE are not taken into account
- As a result, performance remains quite low:
  - [Jauhar and Specia, 2012] : $\kappa = 0.496$
  - [Gala et al., 2014] : accuracy = 63% (3 classes)
  - [Baeza-Yates et al., 2015] : accuracy = 72.3% (2 classes)
Building a lexicon from graded corpora

An alternative to identify complex words: build a graded lexicon from a corpus of texts whose difficulty is known.

- Seminal study by [Lété et al., 2004]: Manulex → describes word distributions over 3 grades (primary school)
- [François et al., 2014] adapted the idea for L2 French:
  FLELex → describes word distributions over 6 grades (CEFR scale)

Manulex and FLELex are freely available on the web.
Example of entries in FLELex

<table>
<thead>
<tr>
<th>lemma</th>
<th>tag</th>
<th>A1</th>
<th>A2</th>
<th>B1</th>
<th>B2</th>
<th>C1</th>
<th>C2</th>
<th>total</th>
</tr>
</thead>
<tbody>
<tr>
<td>voiture (1)</td>
<td>NOM</td>
<td>633.3</td>
<td>598.5</td>
<td>482.7</td>
<td>202.7</td>
<td>271.9</td>
<td>25.9</td>
<td>461.5</td>
</tr>
<tr>
<td>abandonner (2)</td>
<td>VER</td>
<td>35.5</td>
<td>62.3</td>
<td>104.8</td>
<td>79.8</td>
<td>73.6</td>
<td>28.5</td>
<td>78.2</td>
</tr>
<tr>
<td>justice (3)</td>
<td>NOM</td>
<td>3.9</td>
<td>17.3</td>
<td>79.1</td>
<td>13.2</td>
<td>106.3</td>
<td>72.9</td>
<td>48.1</td>
</tr>
<tr>
<td>kilo (4)</td>
<td>NOM</td>
<td>40.3</td>
<td>29.9</td>
<td>10.2</td>
<td>0</td>
<td>1.6</td>
<td>0</td>
<td>19.8</td>
</tr>
<tr>
<td>logique (5)</td>
<td>NOM</td>
<td>0</td>
<td>0</td>
<td>6.8</td>
<td>18.6</td>
<td>36.3</td>
<td>9.6</td>
<td>9.9</td>
</tr>
<tr>
<td>en bas (6)</td>
<td>ADV</td>
<td>34.9</td>
<td>28.5</td>
<td>13</td>
<td>32.8</td>
<td>1.6</td>
<td>0</td>
<td>24</td>
</tr>
<tr>
<td>en clair (7)</td>
<td>ADV</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>8.2</td>
<td>19.5</td>
<td>1.2</td>
</tr>
<tr>
<td>sous réserve de (8)</td>
<td>PREP</td>
<td>0</td>
<td>0</td>
<td>0.361</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0.03</td>
</tr>
</tbody>
</table>

FLELex-TT has 14,236 entries (no MWEs, but manually cleaned)

FLELex-CRF includes 17,871 entries (MWEs, but not cleaned yet)

Both resources are freely available at http://cental.uclouvain.be/flelex/
Building a graded lexicon with synonyms

Another **alternative to identify complex words**: automatically assign a rank within a synset of synonyms. Methodology:

1. Get a list of words with difficulty annotations
2. Train a ranking model based on intrinsic linguistic characteristics
   \[\rightarrow\] Pairwise ranking algorithm: SVMRank [Herbrich et al., 2000]
3. Test the model’s prediction against human opinions
4. Get a resource of synonyms and apply the model on it
5. At the end, create a resource (**ReSyf**) in which every set of synonyms is ranked according to reading difficulty (lexical complexity)
http://resyf.lif.univ-mrs.fr/ResyfApplication/index.htm
Features related to lexical difficulty

Features based on the orthographic form [Gala et al., 2014] :

- Letter count, phonemes count, syllable count
- Density and frequency of the orthographical neighbours of the target word
- Syllabic structure (3 classes of frequency)
- Consistency between the written and oral form :
  - 0 = transparency : 'abrupt' [abRyti]
  - < 2 characters : 'abrite' [abRite]
  - > 2 characters : 'lentement' [l@tm@]
- Spelling patterns : double vowels (e.g. éé [e]), double consonant (e.g. pp [p]), digraphes (e.g. ch [S])
Features related to lexical difficulty (2)

Morphological information:

- Morpheme count, prefixation (yes/no), suffixation (yes/no), is compound (yes/no), minimal freq. of the pref./suf., mean freq. of the pref./suf., size of the morphological family
- New variables: most frequent word in the family, mean freq. of the words in the family, etc.

The morphological decomposition, an unsupervised analysis

- Decomposition into labelled segments (base, prefix, suffix)
- Then, identification of the family [Bernhard, 2010]

Examples:

- rouille – antirouille ; rouilleux
- dérouiller – dérouillage ; dérouillement ;
- débrouille – brouilleur ; brouilleuse ; débrouilleur ; débrouilleuse

brouille – brouillerie ; brouilleux
Features related to lexical difficulty (3)

Other features:

- Polysemy:
  - Binary variable indicating whether the word is considered as polysemous in JeuxDeMots [Lafourcade, 2007]
  - Number of synsets in BabelNet [Navigli and Ponzetto, 2010]

- Frequencies:
  - Logarithm of the word frequency in Lexique3 [New et al., 2007]
  - Presence or absence from the Gougenheim’s list [Gougenheim et al., 1964]
More significant predictors

1. Nb phonemes, letters, syllables
2. Polysemy
3. Nb orthographical neighbours
4. Nasal vowels
5. Morphological family size
6. Prefixation
7. Nb morphemes
8. Orthographical patterns (double vowels/cons., digraphs)
Algorithm for the pair creation
Using the variables in a ranking algorithm [François, T. and Billami M. B. and Gala, N. and Bernhard, D., 2016]

\[ v_i = (f_1, \cdots, f_n) \]

\[ v_j = (f_1, \cdots, f_n) \]

\[ l_i = 3 \quad \text{abolition} \]

\[ l_j = 1 \quad \text{annulation} \]

\[ v_{ij} = (f_1, \cdots, f_n) - (f_1, \cdots, f_n) \]

\[ l_{ij} = \text{sgn}(l_i - l_j) \]

If abolition is more difficult than annihilation, \( l_{ij} = 1 \).
Assessing the model with human judges

- External evaluation: comparing the model predictions with ratings of human judges.
- Data: 40 vectors of synonyms (3.5 words in average) were assessed by 40 judges.
- Average agreement between judges: Krippendorff’s $\alpha = 0.4$.
- Ratings vs. predictions: Cohen’s quadratic $k = 0.63$ (strong agreement).
- MRR (mean reciprocal rank) = 0.84.
## Human evaluation: example

<table>
<thead>
<tr>
<th>Synonym</th>
<th>Autom. prediction</th>
<th>Reference</th>
</tr>
</thead>
<tbody>
<tr>
<td>associer</td>
<td>1</td>
<td>1</td>
</tr>
<tr>
<td>combiner</td>
<td>2</td>
<td>2</td>
</tr>
<tr>
<td>assimiler</td>
<td>3</td>
<td>3</td>
</tr>
<tr>
<td>entremêler</td>
<td>4</td>
<td>4</td>
</tr>
<tr>
<td>amalgamer</td>
<td>5</td>
<td>5</td>
</tr>
</tbody>
</table>
### Human evaluation: example

<table>
<thead>
<tr>
<th>Synonym</th>
<th>Autom. prediction</th>
<th>Reference</th>
</tr>
</thead>
<tbody>
<tr>
<td>mine</td>
<td>1</td>
<td>1</td>
</tr>
<tr>
<td>galerie</td>
<td>2</td>
<td>2</td>
</tr>
<tr>
<td>gisement</td>
<td>3</td>
<td>3</td>
</tr>
<tr>
<td>excavation</td>
<td>4</td>
<td>5</td>
</tr>
<tr>
<td>creusement</td>
<td>5</td>
<td>4</td>
</tr>
<tr>
<td>Sens 3</td>
<td>bleu 1</td>
<td></td>
</tr>
<tr>
<td>--------</td>
<td>--------</td>
<td></td>
</tr>
<tr>
<td></td>
<td>sombre 2</td>
<td></td>
</tr>
<tr>
<td></td>
<td>morne 3</td>
<td></td>
</tr>
<tr>
<td></td>
<td>déprimant 4</td>
<td></td>
</tr>
<tr>
<td>Sens 4</td>
<td>bleu 1</td>
<td></td>
</tr>
<tr>
<td></td>
<td>contusion 2</td>
<td></td>
</tr>
<tr>
<td></td>
<td>ecchymose 3</td>
<td></td>
</tr>
<tr>
<td></td>
<td>meurtrissure 4</td>
<td></td>
</tr>
<tr>
<td>Sens 5</td>
<td>fromage à pâte persillée 2</td>
<td></td>
</tr>
</tbody>
</table>
Introduction

Identifying complex words

Identifying complexity in MWE

Related work, psycholinguistic criteria

Annotation Campaign

Conclusions
Starting point

Are the criteria used for ranking single words applicable to MWE?
Right now: average of variables of words in a MWE...

Our Aims:

- To identify the criteria that make a MWE complex to read and/or understand, eg.:
  - temps mort vs pause/arrêt (time out)
  - gros mot vs injure/juron (swear word)

- To go beyond the notion of frequency by exploring new hints:
  1. compositionnality
  2. opaque/less opaque semantics
  3. abstractness/concreteness
What has been done related to abstractness? ... not too much

- Some works in psycholinguistics (concreteness and imageability)
- “most of the concrete words are considered imageable, whereas abstract words show higher variability in imageability ratings” [Barber, 2013]
- “Imageability is a semantic variable that measures how easy it is for a word to arouse mental images (...) Imageability is significantly correlated with AoA, familiarity, length, and N, but less clearly with word frequency. Thus, more imageable words tend to be acquired earlier, are more familiar, tend to be shorter, and tend to have more orthographic neighbours than less imageable words.” [Stadthagen-Gonzalez and Davis, 2006]
- → Bristol Norms (1526 English words)
What has been done related to abstractness?
... not too much

- Concrete and Abstract words are represented, processed and retrieved differently on the brain [Ferré et al., 2015]

- Qualitative difference as regards to the representation in memory
  - Concrete concepts organized in terms of semantic similarity
  - Abstract concepts organized by their association with other concepts

→ Are abstract words more complex? Is abstractness a predictor of complexity? What about in MWE?
Annotation Campaign

http://www.inf.ufrgs.br/mwe/simple_fr/
Code anti-spam : bonnepommebonnepoire

- Ranking synonyms (including MWE)
- Categorizing among Concrete and Abstract concepts
- Choosing a sub-type (object, person, place... vs idea, feeling, time...)

30/37
Annotation campaign (interface)

Interprétation de noms composés

J'ai déjà mon pseudo :

Votre pseudo
Login

Je n'ai pas encore de pseudo :

1. Lisez les instructions
   - Vous allez lire 3 phrases équivalentes. Si vous ne les comprenez pas, passez la question.
   - Triez ces phrases, de la plus simple à la plus complexe selon vous.
   - Catégorisez l'expression en gras selon son sens.
   - Une fois votre réponse envoyée, vous ne pouvez pas revenir en arrière.
   - Ne réfléchissez pas trop pour chaque question, il n'y a pas de mauvaise réponse.
   - Répondez autant de fois que vous voulez : d'autres phrases vous seront présentées.

2. Créez un pseudo

   Pseudo (p. ex. dupont)
   Âge (p. ex. 25)
   Pays de résidence (p. ex. France, Belgique)
   Code anti-spam reçu par mail

   Le français est ma langue maternelle
   Créer mon pseudo
1. Triez les phrases ci-dessous de la plus simple à la plus complexe :

Les véhicules défilent sur le bas-côté.

Les véhicules défilent sur l’accotement.

Les véhicules défilent sur la bordure.

Je ne comprends pas les phrases → Passer
MWE abstractness

2. Catégorisez l'expression "bas-côté" dans ces phrases :

<table>
<thead>
<tr>
<th>Concret</th>
<th>Abstrait</th>
</tr>
</thead>
<tbody>
<tr>
<td>Objet ou Substance</td>
<td>Action ou évènement</td>
</tr>
<tr>
<td>Personne ou être-vivant</td>
<td>Idée ou concept</td>
</tr>
<tr>
<td>Partie d'être vivant</td>
<td>Sentiment</td>
</tr>
<tr>
<td>Lieu</td>
<td>Période de temps</td>
</tr>
<tr>
<td>Groupe ou quantité</td>
<td>Attribut</td>
</tr>
<tr>
<td>Phénomène naturel</td>
<td></td>
</tr>
</tbody>
</table>
(Brand new) results

- 912 annotations in ten days (we aim to obtain up thousands)
- 51 anonymous participants (mastering French, living in a French-speaking country)
- 180 synsets to be annotated (3 items each, including at least 1 MWE)
- About 500 lexical items to categorize (180 x 3 excluding duplicated entries)
- 34 synsets to be compared with ReSyf (where 26 MWE have been ranked in first position)

... work in progress!
Conclusions

- Collaborative work on readability (identifying lexical complexity)
- Aiming to improve the knowledge we have about words in order to create text simplification systems (reading aids)
- General observations to be adapted to specific readers with specific needs
- Work to be done on MWE!
Future work

- Analyze correlations of abstractness / complexity
- Include new measures to better analyze complexity in MWE
- Enrich the lexical resource ReSyf with a better ranking of MWE
- ...
Thanks

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